Measuring Outcomes and System Performance in Child Welfare

Strengthening Data Use and Analysis in Class Action Litigation

A Conference Sponsored by Children’s Rights, Inc. and the Chapin Hall Center for Children at the University of Chicago

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“Real” Outcomes vs. the Experiences of Families and Children Involved in the Child Welfare System

- All child welfare information systems contain data that make it possible to describe the experiences of children, but most do not contain clinical assessments of the well-being of children.

- Responsibility for the outcomes of children extends to our society as a whole, not just the child welfare system—foster care is intended to provide temporary homes.

- The field of child welfare is much more attuned to results today, compared to procedural compliance 10 years ago.
Whose Outcomes?

Adoption and Safe Families Act of 1997

It establishes unequivocally that our national goals for children in the child welfare system are safety, permanency, and well-being.

ACF Program Instruction, 1-8-98
Federal Outcome Framework

Safety

- Children are, first and foremost, protected from abuse and neglect.
- Children are safely maintained in their homes whenever possible and appropriate.

Permanency

- Children have permanency and stability in their living situations.
- The continuity of family relationships and connections is preserved for families.

Well-Being

- Families have enhanced capacity to provide for their children’s needs.
- Children receive appropriate services to meet their educational needs.
- Children receive adequate services to meet their physical and mental health needs.
Whose Outcomes?

- Adoption and Safe Families Act of 1997
- Child and Family Service Review (CFSR) Process
  - Safety, permanency, and well-being outcomes
  - Systemic factors:
    1. Statewide information systems; 2. case review system; 3. quality assurance system; 4. staff and provider training; 5. service array; 6. agency responsiveness to the community; and 7. foster and adoptive parent licensing, recruitment and retention.
# National Standards for CFSRs

<table>
<thead>
<tr>
<th>Metric</th>
<th>Percentage</th>
<th>Description</th>
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<tbody>
<tr>
<td>Recurrence of Maltreatment</td>
<td>6.1%</td>
<td>For six months following the first report date during the period from January-June, the percentage about whom another substantiated or indicated report is received.</td>
</tr>
<tr>
<td>Incidence of Abuse and/or Neglect in Foster Care</td>
<td>0.57%</td>
<td>The percentage of children reported as maltreated by a perpetrator who was a foster parent or a residential facility staff person for the nine-month period of January 1 through September 30 divided by the population of children served in foster care for the same time period.</td>
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<tr>
<td>Foster Care Re-Entries</td>
<td>8.6%</td>
<td>Of all children who entered foster care during a given year, the percentage who were re-entering foster care within 12 months of a prior foster care episode.</td>
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<tr>
<td>Stability of Foster Care Placements</td>
<td>86.7%</td>
<td>At a point in time, among children who have been in foster care less than 12 mos from the time of the latest removal or left care in the previous 12 months without having been in care 12 mos, the percentage with &lt; 3 placement settings.</td>
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<tr>
<td>Time to Achieve Reunification</td>
<td>76.2%</td>
<td>Among children reunified in a given year, the percentage reunified in &lt; 12 months from the time of the latest removal.</td>
</tr>
<tr>
<td>Time to Achieve Adoption</td>
<td>32.0%</td>
<td>Among children who exit in a given year to a finalized adoption, the percentage who exit care in &lt; 24 months from latest removal.</td>
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</table>
Whose Outcomes?

- Adoption and Safe Families Act of 1997
- Child and Family Service Review (CFSR) Process
- Consent Decrees and Reform Initiatives

Emphasis on particular aspects of system performance, such as placement near a child’s original home or over-reliance on congregate-care settings, that are rooted either in a reform agenda or in court findings that a class of children has not been served appropriately or effectively
Tracking Experiences to Assess Outcomes

The appropriate group to track varies according to the stage of involvement in the system.

Stage 1: Does the reporting process accurately and completely identify maltreatment in a state or locality?

- Understanding of maltreatment and perceptions of reporting responsibilities among the public and professionals
  - Number of children who are subjects of reports of maltreatment per 1,000 children

- Screening of referrals
  - Percentage of reports that are screened out and not investigated

- Efficiency and effectiveness of assessment/investigation process
  - Number of children who are deemed to be victims of substantiated maltreatment per 1,000 children
Tracking Experiences (cont’d.)

Stage 2: Does the system respond to reports in a timely fashion and make appropriate decisions about reported maltreatment?

- Children remain safely with their families when possible, either because risk of maltreatment is minimal or family supports effectively counteract risks
  - Proportion of children with a substantiated report during a quarter who remain with their families and do not have subsequent reports
  - Rate of children with any report during a quarter who remain with their families and do not have subsequent reports

- Children are removed when the risk to their safety is such that it is not appropriate for them to remain with their families
  - Measuring “imminent risk” proved to be challenging in evaluating IFPS
The Cycle of Experiences in the Child Welfare System

Substantiated Report

Use of Home-Based Services vs. Out-of-Home Care

Use of Least Restrictive Form of Care

Maintain Positive Attachments To Family, Friends, and Neighbors

Ensure Continuity Of Care

Lengths Of Stay as Brief as Possible

Permanency Through Reunification, Adoption, or Guardianship
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Tracking Experiences to Assess Outcomes

Child Welfare Events in Succession Trajectories

Source: Fred Wulcyzn, Chapin Hall Center for Children
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Home-Based Services to Families vs. Placement in Out-of-Home Care

- If the response is home-based services to families to promote permanency, the quality of agency decision-making and the resulting safety of children must be monitored by tracking the rate of subsequent maltreatment.

- Lack of detailed data about home-based services inhibits comparative assessment of interventions’ impact on safety and permanency.
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Substantiated Report

Use of Home-Based Services vs. Out-of-Home Care
How do children enter and experience care?

- Pattern of use of different types of settings
  - Shelters
  - Diversion into kinship care, but not custody
  - Kinship care with custody
  - Family foster care

- Rate of placement in non-family settings
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The Cycle of Experiences in the Child Welfare System
Attachment to birth family and community

- Proximity to home of birth family
- Rates of kinship placement—initially and subsequently
- Movement to new school or change of district
- Frequency and nature of contact with birth family while in care
The Cycle of Experiences in the Child Welfare System

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- Ensure Continuity of Care
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Lengths Of Stay as Brief as Possible

Substantiated Report

Use of Home-Based Services vs. Out-of-Home Care
Continuity and stability of care

- Number of moves:
  - Among cohorts of children initially entering care vs. re-entrants
  - Among cohorts of children initially entering care, the number of moves within 30 days, 90 days, 6 months, 1 year, 2+ years

- Does placement process inherently entail changes in settings?
  - Assessment centers
  - Emergency foster homes

- Encourage stepping down of care without penalty by distinguishing moves that entail movement to a less restrictive placement

- Balance proximity to child’s home of origin against kinship placement or other compelling factors
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Use of Least Restrictive Form of Care

Maintain Positive Attachments To Family, Friends, and Neighbors
True length of stay vs. “time to . . .”

- Cohort perspective yields valid and reliable estimates of length of stay based on the experiences of all children.

- Cohort perspective provides a reliable basis for comparing length of stay across successive cohorts.

- Avoids perverse incentives to retain custody of children who are experiencing much longer than average lengths of stay when the inclusion of such cases would adversely affect performance measure.
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Permanent placements

- Overall likelihood of permanent placement
- Changing pattern of permanent placements, recognizing that reunification tends to occur more quickly, then guardianship, then adoption
  - Can model permanency outcomes to estimate likelihood of certain types of placements relative to others
- Avoiding the “notch” problem in selecting specific indicators
- Patterns among exit cohorts tend to be affected by efforts to deal with backlogs of cases and natural changes in patterns over time may suggest a decline in performance
  - Federal adoption standards
  - Classes of children identified in consent decrees
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- Counter-balanced Indicators of System Performance
Expanding the Cycle

- Track children after permanent placements to identify and prioritize subsequent reports of maltreatment and re-entry to care.

- Identify and track specific risk pools:
  - Children entering under voluntary placement agreements
  - Children in care when consent decree established
  - Children entering care after settlement agreement
Sampling and Outcome Measurement

Caseload snapshots—on a day or for a year—are essential to good management, but inherently biased toward the experience of children who have the worst experiences in the system.

- *Length-biased samples*

Exit cohorts systematically exclude children who are stuck in care and do not have a clear referent population.

- *How and why are the children who exited care this year different from those who left care last year and how does this affect outcomes?*

Entry cohorts capture the experiences of all children, count each child once, and provide a basis for evaluative comparisons across successive cohorts.

- *Must distinguish initial entrants from re-entrants*
Outcomes and System Performance

Tracking each child through the system and compiling data about the experiences of successive cohorts yields two perspectives:

- Patterns of experiences and associated safety and permanency outcomes for children

- Distinct patterns of care often exist across localities within states, across neighborhoods within cities, and among different groups of children (age, type of maltreatment, etc.)

*Much can be learned from within-state variations in experiences and patterns of care, and although not directly apparent from CFSR, states are building capabilities to identify such differences*